

Patent and Trademark Office

UNITED STATES FATENT AND TRADEMARK OFFICE

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Bertrand Seraphin

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CONFIRMATION NO. 5538 FORMALITIES LETTER

Date Mailed: 05/02/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below. however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filling fee is missing. Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1 27).
- Total additional claim fee(s) for this application is \$1198
 - \$288 for 16 total claims over 20.
 - \$640 for 8 independent claims over 3
 - \$270 for multiple dependent claim surcharge
- · The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1 16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter
- The balance due by applicant is \$ 2038.

A copy of this notice MUST be returned with the reply.

Customer Service Center Initial Patent Examinate in Division (704) 308-1202 PART 2 - COPY TO BE RECERNED WHILERESPONSE



(X)	Fee	Calculation:					
		<u>Fee</u>	<u>Calculation</u>	<u>_For</u> _	Claims	As	Filed

	() Provisional Applica	ation Basic Fee	\$ 150.00	\$
	• Surcharge under	37 C.F.F. §1.16(1)	\$ 50.00	\$
	or			
	() Non-Provisional Ut Basic Fee	ility Application	\$710.00	\$ 710.00
	• Independent Claims	3 = 8	x \$ 30.00 =	\$ 640.00
	• Total Claims	<u> 36 - 20 = 16</u>	x \$ 18.00 =	\$ <u>288.00</u>
	• Fee for Multipl	y Dependent Claims	x \$270.00 =	\$ 270.00
	• Surcharge under	37 C.F.R. §1.16(e)	x \$130.00 =	\$ 130.00
	or			
	() Design Application	Basic Fee	\$320.00	\$
	• Surcharge under	37 C.F.R. §1 16(e)	\$130.00	\$
		Total Filing and/or Sur	charge Fee	\$2,038.00
)	Small Entity Fee (redu	ced by half)		\$

() Statement(s) of Status as Small Entity.

(

- (X) A check in the amount of $\frac{2.038.00}{0}$ to cover the Filing and/or Surcharge Fee is enclosed.
- () Charge \$_____ to Deposit Account No. 06-1135.
- (X) The Commissioner is hereby authorized to charge any additional fees which may be required in this application under 37 C.F.R. §§1.16-1.17 during its entire pendency or credit any overpayment, to Deposit Account No. 06-1135. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge one unpaid amount to Deposit Account No. 06-1135. This sheet is filed in triplicate.

June 1, 2001	Jan P. Kruen
'Date;	James F. Krueger
	Registration No. 35,234

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PATENT APPLICATION

Attorney Docket No. 70436

Date: June 1, 2001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Seraphin, et al.

Appln No.: 09/785,793

Filed:

February 16, 2001

For:

METHOD FOR PURIFYING PROTEINS

AND/OR BIOMOLECULE OR PROTEIN

COMPLEXES

CERTIFICATE OF MAILING

I hereby certify that this paper is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner of Patents and Trademarks, Washington, D.C. 20231, on this date.

June 1, 2001 Date

James P. Kruege

Registration No. 35,234

Attorney for Applicants

RESPONSE TO NOTICE TO FILE MISSING PARTS

Box MISSING PARTS

Commissioner of Patents and Trademarks

ATTENTION: Assistant Commissioner for Patents

Washington, D.C. 20231

Sir:

In response to the Notice to File Missing Parts dated <u>May 2, 2001</u>, enclosed are:

- (X) A copy of the Notice to File Missing Parts.
- (X) An executed Declaration for Patent Application, including Power of Attorney.
- (K) Assignment(s) of the invention to <u>Europäisches Laboratorium Für Molekularbiologie (EMBL)</u>, and Recordation Form Cover Sheet Patents Only Form PTO-1595.
- .X) A check in the amount of $\frac{40.00}{100}$ to cover the fee for recording the assignment(s).